Lipman Matthew E. Form 3 July 24, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Lipman Matthew E.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/14/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Capstone Therapeutics Corp. [CAPS]

4. Relationship of Reporting Person(s) to Issuer

\_X\_ Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

122 EAST 42ND STREET. **SUITE 4305,Â** 

(Street)

(Check all applicable)

\_X\_\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

NEW YORK, NYÂ 10168

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, \$0.0005 par value  $\frac{(1)}{}$ 

18,541,197

I By: BP Peptides, LLC (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

> > 2. Date Exercisable and

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise Price of

Ownership Form of (Instr. 5)

6. Nature of Indirect Beneficial Ownership

(Instr. 4) Derivative

Title

Derivative Security: Direct (D) Security

1

### Edgar Filing: Lipman Matthew E. - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lipman Matthew E. 122 EAST 42ND STREET, SUITE 4305 NEW YORK, NY 10168	ÂX	ÂΧ	Â	Â
BP Peptides, LLC 122 EAST 42ND STREET SUITE 4305 NEW YORK, NY 10168	Â	ÂX	Â	Â

# **Signatures**

By: /s/ Matthew E. Lipman 07/24/2017

\*\*Signature of Reporting Person Date

By: BP Peptides, LLC, By: /s/ Matthew E. Lipman, Manager and President 07/24/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 3 is filed jointly by BP Peptides, LLC ("BP Peptides") and Matthew E. Lipman (collectively, the "Reporting Persons"). Mr.
- (1) Lipman is a director of the Issuer. BP Peptides may be deemed to be a director by deputization by virtue of the fact that Mr. Lipman, the Manager and President of BP Peptides, is a director of the Issuer.
- Represents securities owned directly by BP Peptides. As the Manager and President of BP Peptides, Mr. Lipman may be deemed to (2) beneficially own the securities owned directly by BP Peptides. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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